

Attorney's Docket No.: 042390.P6899

Patent

Re the Application of: Smith, et al.

**AMENDMENT UNDER**

**37 C.F.R. § 1.116**

**EXPEDITED PROCEDURE**

Application No.: 09/475,653

**EXAMINING GROUP 2171**

Filed: 12-30-99

For: INTELLIGENT AGENT BASED E-MAIL NOTIFICATION SYSTEM  
(title)

COMMISSIONER FOR PATENTS

Washington, D.C. 20231-0001

Box AF

SIR: Transmitted herewith is an **Amendment After Final Action** for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

No additional fee is required.

A Notice of Appeal is enclosed.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN A SMALL ENTITY
	Claims Remaining After Amd.	Highest No. Previously Paid For	Present Extra	Rate	Additional Fee
Total Claims	*26	Minus	**26	0	X9 \$
Indep. Claims	*4	Minus	***4	0	X42 \$
<b>First Presentation of Multiple Dependent Claim(s)</b>				+140 \$	
				Total Add. Fee \$	
					Total Add. Fee \$ 0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Box RCE, Commissioner for Patents, Washington, D.C. 20231-0001

on February 20, 2003  
Date of Deposit

Judy L. Steinkraus

Name of Person Mailing Correspondence

Signature

February 20, 2003

Date

**RECEIVED**

**FEB 28 2003**

Technology Center 2100

A check in the amount of \$\_\_\_\_\_ is attached for presentation of additional claim(s).

Applicants hereby Petition for an Extension of Time of TWO months pursuant to 37 C.F.R. § 1.136(a).

A check for \$410.00 is attached for processing fees under 37 C.F.R. § 1.17.

Please charge my Deposit Account No. 02-2666 the amount of \$\_\_\_\_\_.

**A duplicate copy of this sheet is enclosed.**

The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (a duplicate copy of this sheet is enclosed):

Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.

Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: February 20, 2003  
12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(408) 720-8300



Arlen M. Hartounian  
Reg. No. P52,997



## FEE TRANSMITTAL FOR FY 2003

**TOTAL AMOUNT OF PAYMENT (\$)** 1,160.00

**Complete if Known:**

Application No. 09/475,653  
 Filing Date 12-30-99  
 First Named Inventor Smith, et al.  
 Group Art Unit 2171  
 Examiner Name Alaubaidi, Haythim J  
 Attorney Docket No. 042390.P6899

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
 Deposit Account Name \_\_\_\_\_

**RECEIVED**

FEB 28 2003

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

Technology Center 2100

2.  Payment Enclosed:  Check  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**FEE CALCULATION**

**1. BASIC FILING FEE**

**Large Entity** **Small Entity**

Fee	Fee	Fee	Fee	Fee Description	
Code	(\$)	Code	(\$)		Fee Paid
1001	750	2001	375	Utility application filing fee	_____
1002	330	2002	165	Design application filing fee	_____
1003	520	2003	260	Plant filing fee	_____
1004	750	2004	375	Reissue filing fee	_____
1005	160	2005	80	Provisional application filing fee	_____

SUBTOTAL (1) \$ 0

**2. EXTRA CLAIM FEES**

		<b>Extra Claims</b>	<b>Fee from below</b>	<b>Fee Paid</b>
Total Claims	_____	- 20** = _____	X _____	= _____
Independent Claims	_____	- 3** = _____	X _____	= _____
Multiple Dependent				_____ = _____

\*\*Or number previously paid, if greater; For Reissues, see below.

**Large Entity** **Small Entity**

Fee	Fee	Fee	Fee	Fee Description
Code	(\$)	Code	(\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>		
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	410.00
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	750	2810	375	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	750.00
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,300	1454	1,300	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) \_\_\_\_\_

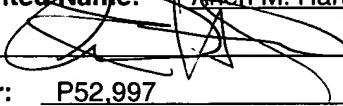
Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 1,160.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Arlen M. Hartounian

Signature:  Date: February 20, 2003

Reg. Number: P52,997 Telephone Number: (408) 720-8300



## FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to

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Commissioner for Patents  
Washington, D.C. 20231-0001

on 2/20/03

Judy L. Steinkraus

Serial/Patent No.: 09/475,653 Filing/Issue Date: 12-30-99  
Client: Intel Corporation  
Title: INTELLIGENT AGENT BASED E-MAIL NOTIFICATION SYSTEM

BSTZ File No.: 042390 P6899  
Date Mailed: 2-20-03

Atty/Secty Initials: JPW/AMH/cv  
Docket Due Date: 12-20-02

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (18 pgs.)  Express Mail No.: \_\_\_\_\_  Check No. 55799  
 Appeal Brief (\_\_\_\_ pgs.) (in triplicate)  TWO Month(s) Extension of Time  Amt: 1,160.00  
 Application - Utility (\_\_\_\_ pgs., with cover and abstract)  Information Disclosure Statement & PTO 1449 (\_\_\_\_ pgs.)  Check No. \_\_\_\_\_  
 Application - Rule 1.53(b) Continuation (\_\_\_\_ pgs.)  Issue Fee Transmittal  Amt: \_\_\_\_\_  
 Application - Rule 1.53(b) Divisional (\_\_\_\_ pgs.)  Notice of Appeal  \_\_\_\_\_  
 Application - Rule 1.53(b) CIP (\_\_\_\_ pgs.)  Petition for Extension of Time (1 p + dup)  
 Application - Rule 1.53(d) CPA Transmittal (\_\_\_\_ pgs.)  Petition for \_\_\_\_\_  
 Application - Design (\_\_\_\_ pgs.)  Postcard  \_\_\_\_\_  
 Application - PCT (\_\_\_\_ pgs.)  Power of Attorney (\_\_\_\_ pgs.)  
 Application - Provisional (\_\_\_\_ pgs.)  Preliminary Amendment (\_\_\_\_ pgs.)  
 Assignment and Cover Sheet  Reply Brief (\_\_\_\_ pgs.)  
 Certificate of Mailing  Response to Notice of Missing Parts  
 Declaration & POA (\_\_\_\_ pgs.)  Small Entity Declaration for Indep. Inventor/Small Business  
 Disclosure Docs & Orig & Copy of Inventor's Signed Letter (\_\_\_\_ pgs.)  Transmittal Letter, in duplicate (2 pages) Am. Xmittal  
 Drawings: \_\_\_\_ # of sheets includes \_\_\_\_ figures  Fee Transmittal, in duplicate (2 pages)  
 Other: RCE Transmittal ( 1 page + duplicate)